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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/513,350	02/25/2000	Frank Leymann	GE999-002	7891

7590 08/26/2003

Anne V Dougherty  
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EXAMINER

JEANTY, ROMAIN

ART UNIT

PAPER NUMBER

3623

DATE MAILED: 08/26/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

**DETAILED ACTION**

1. This Communication is in response to Applicant's Amendment filed on May 27, 2003.

**Requirement for Information Under 37 C.F.R. 1.105**

2. Applicants and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

The information is required to identify figures embodying the disclosed subject matter of Workflow-based applications. The prior art discloses that Applicants have been operating the system since at least 1997. However, Applicant fails to disclose any information (Figures or drawings) regarding the system that may be material to the patentability of the disclosed invention. The Examiner reminds Applicants that they must disclose documents and publications known to them that are relevant to the subject matter of this application. In particular, copies of the "Workflow-based applications" system that was implemented in 1997.

In response to this requirement, please provide the citation and a copy of each publication which any of the Applicants authored or co-authored and which describe the disclosed subject matter of obtaining securities information and transactions for a user at an automatic teller machine.

In response to this requirement, please provide the names of any products or services that have incorporated the claimed subject matter and the disclosed prior art of workflow system having a process model which has one or more activities being the nodes of an arbitrary graph defining a potential control flow within the process model.

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The fee and certification requirements of 37 C.F.R. 1.97 are waived for those documents submitted in reply to this requirement. This waiver extends only to those documents within the scope of this requirement under 37 C.F.R. 1.105 that are included in the applicant's first complete communication responding to this requirement. Any supplemental replies subsequent to the first communication responding to this requirement and any information disclosures beyond the scope of this requirement under 37 C.F.R. 1.105 are subject to the fee and certification requirements of 37 C.F.R. 1.97.

In responding to those requirements that require copies of documents, where the document is a bound text or a single article over 50 pages, the requirement may be met by providing copies of those pages that provide the particular subject matter indicated in the requirement, or where such subject matter is not indicated, the subject matter found in Applicant's disclosure.

The Applicant is reminded that the reply to this requirement must be made with candor and good faith under 37 CFR 1.56. Where the Applicant does not have or cannot readily obtain an item of required information, a statement that the item is unknown or cannot be readily obtained will be accepted as a complete response to the requirement for that item.

### **Conclusion**

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed Romain Jeanty whose telephone number is (703) 308-9585. The

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examiner can normally be reached Monday-Thursday from 7:30 am to 6:00 pm. If attempts to reach the examiner are not successful, the examiner's supervisor, Tariq R Hafiz can be reached at (703) 305-9643.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the group receptionist whose telephone number is (703) 308-1113.

Any response to this action should be mailed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

or faxed to: (703) 305-7687

Hand delivered responses should be brought to Crystal Park 5, 2451 Crystal Drive,  
Arlington VA, Seventh floor receptionist.



Romain Jeanty

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August 11, 2003



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